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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Attorney Docket No. 108827-129CON)

Applicant: Wang, David G.

) Examiner:

Serial No: 10/027,632

)

Filed: December 21, 2001

) Art Unit:

)

For: IDENTIFICATION AND MAPPING OF SINGLE NUCLEOTIDE  
POLYMORPHISMS IN THE HUMAN GENOME

#3

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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.10

I hereby certify that the attached papers and fees are being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" Service under 37 C.F.R. §1.10 on April 30, 2002, and is addressed to: BOX SEQUENCE, Commissioner for Patents, Washington, D.C. 20231.

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*Jo-Ann Bergantino*  
Jo-Ann Bergantino

BOX SEQUENCE  
Commissioner for Patents  
Washington, D.C. 20231

Response to Notice to Comply

Dear Sir:

In response to the Notice to Comply mailed March 14, 2002 (copy enclosed) that has issued in the above-referenced application ("Application"), Applicants are submitting herewith copies of the Preliminary Amendment, Sequence Statement, and Sequence Listing in Computer-Readable form that were filed on January 28, 2002 and received by the Patent Office on February 21, 2002 (copy of return post-card also enclosed).

Applicants respectfully request entry into the Application of the Preliminary Amendment filed January 28, 2002.

Remarks and Conclusion

Applicants respectfully aver that the Preliminary Amendment, Sequence Statement, and Sequence Listing in Computer-Readable form that were filed on January 28, 2002 fully overcome the requirements of the Notice to Comply.

No fees are believed to be due in connection with this filing. However, please charge any underpayments or credit any overpayments to our Deposit Account No. 08-0219.

If there are any questions, please call the undersigned at the telephone number indicated below.

Respectfully submitted,  
HALE AND DORR LLP



Nancy Chiou, Ph.D.  
Reg. No. 43,545  
Agent for Applicant

Date: April 30, 2002

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Boston, MA 02109  
(617) 526-6000  
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Title: IDENTIFICATION AND MAPPING OF SINGLE NUCLEOTIDE  
Serial/ Patent #: 10/027,632 1<sup>st</sup> Inventor: Wang 1<sup>st</sup> Applicant: SNP Consortium

The following is the information received by the US Patent and Trademark Office on the date stamped hereon.

Express Mail Label No.  Fee Transmittal Form  Transmittal Form  
 Certificate of Mailing - 37 CFR 1.8 (a)  Deposit Account Authorization  
 Applicant Claims Small Entity Status  Copy of  Response to Notice to File Missing Parts  
 Provisional Application Cover Sheet  Preliminary Amendment  
 New Application  Design  IDS, PTO-1449, and  publications (Sect 1.14)  
Specification - Total Pages  Sequence Listing  CD-ROM  Disc (CRF)  Paper  
Formal Drawings - Total Sheets  Petition for  month extension of time  
 CPA application  RCE application  Response to Office Action / Restriction Req  
 Oath of Declaration:  newly executed  Notice of Appeal  
\_\_\_\_\_,  copy from priority case  Appellant's Brief (Duplicate)  Reply Brief  
 Assignment and Recordation Form  Issue Fee +  soft copies /  Maintenance Fee  
 Application Data Sheet (Initial Information Data Sheet)  
 Continuation  Divisional  Continuation-in-Part of App No. \_\_\_\_\_  
 Other: Sequence Statement and Declaration

Mailing Date: 01/28/02 CM No. 108827-129 Filed By: WC/jrb



Box Sequence  
Commissioner for Patents  
Washington, DC 20231

Client	SNP Consortium	Date	01/28/02	Amount
Matter		Client/Matter No.	108827.129	
Addressee Box Sequence, Commissioner for Patents, Washington, DC 20231				
Attorney	Chiu	Atty. No. 5825		

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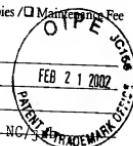
## Title: IDENTIFICATION AND MAPPING OF SINGLE NUCLEOTIDE

Serial/ Patent #: 10/027,632 1<sup>st</sup> Inventor: Wang Applicant: SNP Consortium

The following have been received by the US Patent and Trademark Office on the date stamped hereon.

Express Mail Label No.  Fee Transmittal Form  Transmittal Form  
 Certificate of Mailing - 37 CFR 1.8 (a)  Deposit Account Authorization  
 Applicant Claims Small Entity Status  Copy of  Response to: *Notice to File Missing Parts*  
 Provisional Application Cover Sheet  Preliminary Amendment  
 New Application ( \_\_\_\_\_ Design)  IDS, PTO-1449, and \_\_\_\_\_ publications (Supl. \_\_\_\_\_)  
Specification - Total Pages \_\_\_\_\_  Sequence Listing  CD  ROM  Disc (CRE)  Paper  
Formal Drawings - Total Sheets \_\_\_\_\_  Petition for \_\_\_\_\_ month extension of time  
 CFA application  RCE application  Response to Office Action / Restriction Req.  
 Oath of Declaration: \_\_\_\_\_ newly executed  Notice of Appeal  
\_\_\_\_\_ unsigned; \_\_\_\_\_ copy from priority case  Appellant's Brief (triple) ( Reply Brief)  
 Assignment and Recordation Form  Issue Fee + \_\_\_\_\_ soft copies  Maintenance Fee  
 Application Data Sheet (Initial Information Data Sheet)  
 Continuation  Divisional  Continuation-in-Part of App No: \_\_\_\_\_  
 Other: Sequence Statement and Declaration  
 Other: \_\_\_\_\_

Mailing Date: 01/28/02 CM No. 108827-129 Filed By: NC/PTO





## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/027,632	12/21/2001	David G. Wang	108827.129 CON

23483  
 HALE AND DORR, LLP  
 60 STATE STREET  
 BOSTON, MA 02109



CONFIRMATION NO. 1497  
 FORMALITIES LETTER



OC00000007642765\*

Date Mailed: 03/14/2002

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
 CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
 DISCLOSURES**

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A request to transfer the computer readable form from another application on file at the U.S. Patent and Trademark Office has been submitted as permitted by 37 C.F.R. 1.821(e). However, the request cannot be complied with since there is no compliant CRF present at the United States Patent and Trademark Office. Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*

*Y. G.*  
 Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE